

1 Article

2 Technology Transfer and Evaluation of ACI Arsenic 3 and Pathogen Removal System for Safe Drinking 4 Water Supply in the Pinal de Amoles Municipality of 5 Queretaro, Mexico

6 Marcela S. Duhne-Ramírez ^{1,*}, Eusebio Ventura-Ramos ¹, Hilda Romero-Zepeda ¹, Jay
7 VandenBrink ², and Harry J. Knopke ²

8 ¹ School of Engineering, Universidad Autonoma de Queretaro, Cerro de las Campanas S/N, Col. Las
9 Campanas, Queretaro, 76010 Queretaro, Mexico; marcela.duhne@uaq.mx (M.S.D.); eventura@uaq.mx (E.V.);
10 phd.hromero@gmail.com (H.R.)

11 ² Aqua Clara International, MSU BioEconomy Institute, 242 Howard Holland, 49424 MI, USA;
12 jvandenbrink@aquaclara.org (J.V.); hsknopke@sbcglobal.net (H.J.K.)

13 * Correspondence: marcela.duhne@uaq.mx; Tel.: +52-442-192-1200 E. 3579

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15 **Abstract:** Continuous consumption of arsenic adversely affects human's health. There are countless
16 communities that depend on water containing arsenic in toxic levels, but which lack effective
17 means to remove the contamination. The objective of this research is to test the efficacy of a
18 low-cost filtering system designed by Aqua Clara International (ACI) to remove arsenic and
19 pathogens. This system requires no external sources of energy nor any chemicals to treat the water.
20 Seven systems were installed between 2016 and 2017 in remote and low-income villages located in
21 the Sierra Gorda in Pinal de Amoles, Queretaro. The team comprised members of the Universidad
22 Autonoma de Queretaro, the Council for Water at the State of Queretaro, the Health Council at the
23 State of Queretaro and scientists from ACI. Water samples were analyzed in the field using a Rapid
24 Arsenic Testing Kit and were compared against the analysis made to the samples in a certified
25 Laboratory. Results indicate that ACI's filters work properly in the field, there was no presence of
26 arsenic once the water was treated by the installed systems, and the Rapid Arsenic Testing Kit may
27 be used in the field because of its sensitivity to and precision for arsenic concentration.

28 **Keywords:** arsenic water treatment; filtration; hollow membrane; media filters; rural communities
29

30 1. Introduction

31 World-wide concerns about water quality have grown while at the same time there has been a
32 decrease of water available for human consumption. When the water services and sanitation are
33 insufficient, inappropriately managed, or even nonexistent, a population is exposed to preventable
34 risks to their health. In addition, heavy metals represent a considerable added risk for the health and
35 environment [1].

36 Arsenic is listed among the top ten chemicals of public health concern [2]. As it is considered
37 carcinogenic for humans. In certain regions, epidemiological evidence shows health alterations due
38 to the constant consumption of water contaminated by arsenic that affects the respiratory, the
39 gastrointestinal, the cardiovascular, and the nervous systems [3].

40 Inorganic arsenic has been shown to be naturally present at high levels in various countries, but
41 among them, Argentina, Bangladesh, Chile, China, India, Mexico, and the United States have the
42 highest concentrations [2,4,5]. In Mexico, some states have shown data for arsenic concentrations
43 above standard levels: Nayarit, Chihuahua, Veracruz, Puebla, San Luis Potosi, Hidalgo, and Nuevo

44 Leon [6-7]. Regrettably, in many places the only source of available drinking water contains
45 dissolved arsenic (As) in toxic levels [8]. This condition prompted the current study to evaluate a
46 removal mechanism.

47 There are various methods used to remove or reduce arsenic levels based on chemical processes
48 applied alone, simultaneously, or sequentially. Among them are: oxidation reduction,
49 coagulation-filtration, coagulation-filtration combined with oxidation with non-thermal plasma,
50 coagulation-flocculation, precipitation, electrocoagulation, adsorption, ion exchange, liquid and
51 solid phase separation, physical exclusion, use of solar zero-valent iron, membrane technologies,
52 and biological processes [8-13]. Some methods have only been applied in the lab, and researchers
53 have concluded that the reliability of the technology and the laboratory analysis are mandatory,
54 before any field application is attempted [14,15]. Other solutions have been developed, applied and
55 evaluated in different locations and circumstances; regrettably, such solutions are located in either
56 rural or urban areas with centralized water supply systems or are only applied at household scale.

57 In Latin American countries, among them Mexico, many populations depend on water that
58 contains arsenic in toxic levels; these communities are generally located in rural or peri-urban areas.
59 These places are highly or very highly marginalized and remote; in addition, their members are
60 dispersed about the geographic area.

61 Documentation of the arsenic contamination in Mexico and the state of Queretaro has
62 concluded that some aquifers of the state are naturally polluted, while others are anthropologically
63 polluted due to mining [16]. Future research is required to determine the nature of the
64 contamination source of each aquifer. It is imperative to implement local systems of purification in
65 marginalized areas that allow the population access to non-contaminated water to be used for
66 drinking, cooking and basic hygiene at a lower cost than the commercial applications available in
67 developed areas.

68 The purpose of the project was to evaluate the efficacy of the installations of hydraulic systems
69 developed by Aqua Clara International (ACI), a non-governmental organization (NGO) of the USA.
70 These systems rely on gravity to move water through their filters; they do not require external
71 sources of energy or any chemicals to purify water by removing mainly arsenic and pathogens
72 present in the water. The results of seven installations carried out in the municipality of Pinal de
73 Amoles, Queretaro during 2016 and 2017, and the evaluation data of each site is presented.
74 Therefore, analyses of raw water and purified water were obtained. Likewise, a Rapid Arsenic Test
75 Kit was used in the field and its results are compared against results obtained in a laboratory.

76 2. Materials and Methods

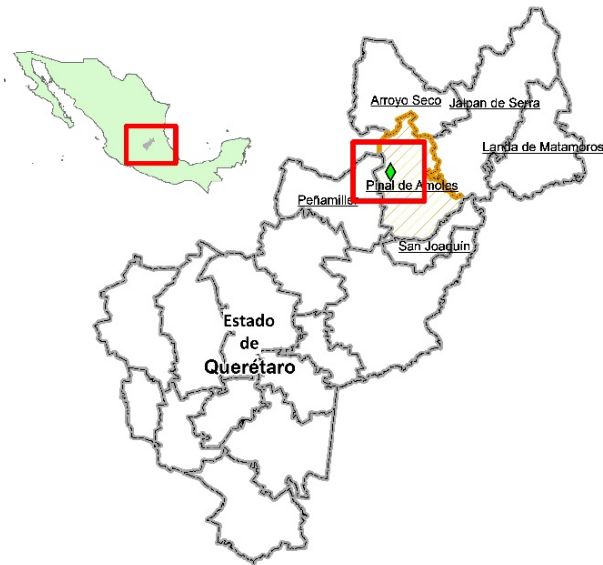
77 2.1. Study Zone

78 Queretaro has 18 municipalities, with 2 038 372 habitants, of which 70% correspond to the
79 urban area and 30% to the rural area. The 51% of the state's surface has a dry and semi-dry climate
80 located in the central region, and the rest has a sub-humid warm climate (located in the Sierra Madre
81 Oriental) and sub-humid temperate climate (located in the south, center and northeast of the state)
82 [17].

83 The state of Queretaro is in 16th place in Mexico for levels of marginalization; it represents
84 17,601 people living in 215 low-income communities. Most of the areas have problems with drinking
85 water shortages due to their isolation from the developing cities. Also, the water available usually
86 contains bacterial and other harmful contaminants.

87 The communities that received the technology developed by ACI belong to the municipality of
88 Pinal de Amoles (Figure 1), part of the mountainous region known as the Sierra Gorda. The
89 municipality located at 153km from the state's capital, in the northern region of the State, in a
90 mountainous area with steep slopes, plains and plateaus, and 1,200 switchbacks from the main
91 highway. It has an extension of 705.4km², which represents 6.04% of the state's surface. In 2015, Pinal
92 de Amoles was categorized with a high degree of poverty, as a high rural priority area of attention
93 and was in the first place of degree of marginalization (it has the highest degree), compared with the

94 rest of the municipalities of the state of Queretaro [18]. The main areas to focus include improving
 95 household water access and drainage services and reducing educational lagging.



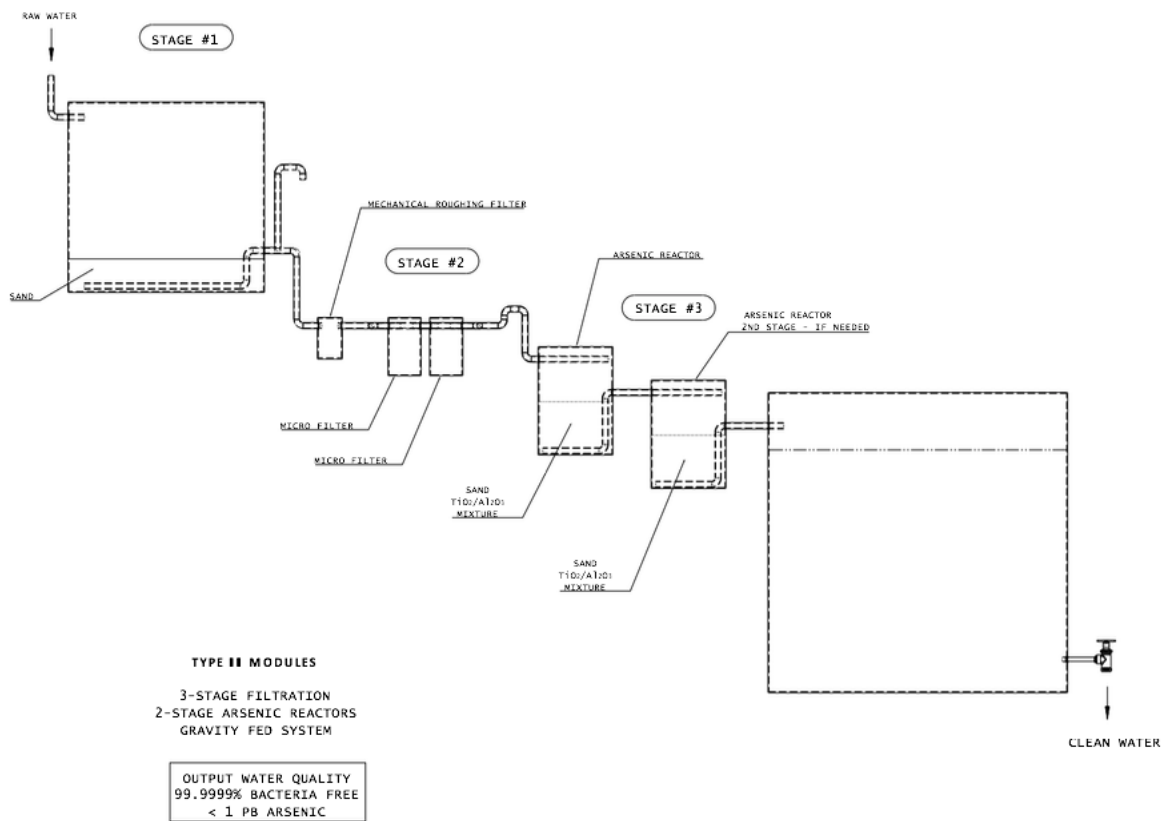
96 **Figure 1.** Location of the municipality of Pinal de Amoles.

97 2.2. Technology of Aqua Clara International (ACI)

98 The Universidad Autonoma de Queretaro (UAQ) and Aqua Clara International (ACI)
 99 headquartered in Holland, Michigan, USA, have an agreement that promotes the transfer of ACI
 100 technology to the UAQ so its members, teachers, technical personnel, and students, have the
 101 capability to serve as the source for providing drinking water to the inhabitants of the state of
 102 Queretaro.

103 The ACI technology is the result of four years of laboratory experimentation followed by
 104 validation studies in the field. Aqua Clara's laboratory is contained in the Michigan State University
 105 Bio-economy Institute; in addition to its engineers and scientists, the university assigns an analytical
 106 chemist to the Aqua Clara team. The initial formulation of the technology's media was developed in
 107 the Aqua Clara laboratory and confirmed by field testing in Nicaragua [19], removing arsenic
 108 contamination levels from 45ppb to 0-1ppb. Further laboratory refinement of the technology, based
 109 on these field studies, resulted in subsequent field testing through systems installed in schools in the
 110 Nalbari region of Assam, India [20], where arsenic concentrations up to 150ppb, are present in
 111 ground water accessed via wells. The application of the ACI technology resulted in final
 112 concentrations of 0-1ppb. Finally, the partnership of Aqua Clara and UAQ led to field tests of
 113 revised systems in Queretaro, resulting in both school and household units now being installed in
 114 multiple locations in both Mexico and Nicaragua, with Aqua Clara responding to requests for the
 115 technology from additional countries.

116 The systems for arsenic and pathogen removal installed in this research have three stages: The
 117 first stage is the pretreatment, where via a sand pre-filter the coarse particles and parasites are
 118 removed and turbidity is reduced. (Due to the quality of the incoming water sources, the sand
 119 pre-filter was only needed to be installed in two of the communities.) The second stage consists of
 120 moving the water through pathogen removing filters. Here, water passes through a 75 micron
 121 roughing filter of 75 microns, and then through two hollow membrane filters 250mm-long of 0.1
 122 microns which retain microorganisms (Figure 2). The hollow membrane filters have a pore diameter
 123 smaller than the typical size of the bacteria, which is 1-3 microns, obtaining a removal efficiency of
 124 99.9999% of bacteria.



125

Figure 2. Three stage manifolded bacterial/arsenical removal system.

126

In the third stage, the water passes through a volume of 0.02 or 0.04m³ of an adsorbent media, which consists of agglomerated nanoparticles of titanium dioxide (TiO₂), supported by two grades of silicon dioxide (Figure 2). The TiO₂ adsorbs the arsenic or any heavy metal and retains it while the water passes through the media. This compound is also a disinfectant and could remove bacteria too, but because such removal is accomplished by the hollow membrane filters, the media can be focused on the removal of arsenic. Finally, the water flows by gravity to a storage tank, which has a faucet at the bottom for filling clean and dry containers.

133

The amount of media that is placed in the system depends on the concentration of arsenic in the water and the projected daily usage. This system has demonstrated that it can remove arsenic amounts up to 1000ppb in laboratory testing designed by ACI at Michigan State University.

136

The filter media is calibrated to be used for at least during two years, considering the remoteness of the target villages. At the end of this period, the media is replaced; it is certified by the U.S. EPA to not leach out the arsenic retained. Once depleted, it can be safely placed in landfills or otherwise discarded.

140

This project was feasible with the support of teachers and students of the School of Engineering of the UAQ, and scientists of Aqua Clara International. Besides them, personnel of the National Council of Water (CONAGUA) served as witnesses of the systems installed, and personnel of the Health Council at the State of Queretaro (SESEQ) were responsible for the first contact with the communities and follow-up visits to the installed systems in Pinal de Amoles, Queretaro.

145 3. Results and Discussion

146

The seven systems were installed at locations in Pinal de Amoles with populations ranging from 185 to 580 habitants, usually installing two systems per visits since March 2016 and until April 2017. Due to confidentiality of data, the names of the communities are not presented in this article.

149

In these target communities, alternative sources of water are available. However, its habitants report that such sources are intermittent or, due to the dispersion of the households, it is not feasible to supply all the houses with such sources.

151

152 Once the systems were installed, samples of water before and after treatment were taken. In
 153 field the Quick Rapid Arsenic Test Kit was used in order to obtain results in 17 minutes, and all
 154 analysis were made in duplicate. This kit has been used in the field by ACI's scientists and is
 155 manufactured by Industrial Test Systems, Inc., an American company located in South Carolina.
 156 Other samples were analyzed in a laboratory certified by the Entidad Mexicana de Acreditacion,
 157 A.C. (EMA): Ingenieria y Estudios Ambientales, S.A, de C.V. (INESA) of Queretaro.

158 Table 1, shows the results obtained by the two analysis methods during the installation phase of
 159 the project. The Rapid Arsenic Test Kit has a strip which is colored and compared against a table of
 160 colors Easy-Read where white is 0 and 0.005mg/L is the first color, so the results table was written a
 161 value of <0.004mg/L, if the strip was not colored. For the community C, due to the color being
 162 slightly darker than 0.010mg/L, the researchers opted for a value of 0.011mg/L. The correct operation
 163 of the removal of arsenic in the last four locations could not be detected. In D, the filling tank was
 164 connected to an alternate spring instead of that the source that is allegedly contaminated. In E, the
 165 water is stored in an open storage dam and there was rain the week prior to the installation, and
 166 locations F and G also appeared to be affected by rains which diluted the source surface waters.

167 **Table 1.** Arsenic values at ACI technology installation phase in selected communities in Pinal de
 168 Amoles, Queretaro, Mexico.

Community	Inflow (mg/L)		Outflow (mg/L)	
	Kit	Lab	Kit	Lab
A	0.500	0.500	0.005	0.007
B	0.020	0.017	< 0.004	< 0.005
C	0.011	0.012	< 0.004	< 0.005
D	< 0.004	< 0.005	< 0.004	< 0.005
E	< 0.004	< 0.005	< 0.004	< 0.005
F	< 0.004	< 0.005	< 0.004	< 0.005
G	< 0.004	< 0.005	< 0.004	< 0.005

169 Community A has been given more importance due to 120 arsenic poisoning cases presented in
 170 2015, the highest arsenic concentration levels detected and its remote geographic location. For this
 171 location, two sets of pre-treatment water were obtained. The first set generated through use of the
 172 Quick Rapid Arsenic Test Kit, was judged to be at approximately 0.35mg/L. An approximation was
 173 necessary as the test results are shown in gradations of color on kit's Easy-Read Color Chart, in this
 174 case one color level was 0.30mg/L and the next was 0.40mg/L. Judging the color on the test strip,
 175 which appeared to be midway between the two colors, yielded the 0.35mg/L estimate. The water
 176 sample taken at the same time and analyzed by the Queretaro laboratory produced a result of
 177 0.34mg/L or 340ppb. The second set of measures was obtained two days after the first set when the
 178 system installation was to be completed, see Table 1. The arsenic contamination level identified
 179 through use of the Quick Rapid Arsenic Test Kit and samples were analyzed by the INESA
 180 laboratory are shown in Table 1. In January 2018, water was once again analyzed in the field
 181 obtaining 0.10mg/L arsenic concentration. Results obtained between 2015 and 2018 show a constant
 182 fluctuation of the arsenic concentrations, reinforcing speculation that rain/drought cycles affect
 183 water contamination levels.

184 Communities D, E, F, and G had high levels of arsenic reported by governmental agencies, the
 185 reason they were included in this initiative. The water source has been tested by the researchers once
 186 in October 2016. Field and lab testing indicate values above 0.50mg/L and 0.531±0.071mg/L,
 187 respectively. It is speculated that the aquifers supplying the source water in these communities may
 188 be affected by periodic weather or other natural changes; this speculation will be the basis for further
 189 research.

190 Regarding pathogen removal, water was analyzed regarding total and fecal coliforms; inflow
 191 and outflow results show no presence of total and fecal coliforms except for community E (240
 192 most-probable number MPN/100 mL, 43MPN/100 mL, respectively, were identified in the inflow
 193 and no presence was detected at the outflow); therefore, no tables are presented regarding these
 194 results.

195 Afterwards, follow-up evaluation of the installed systems in May 2018 occurred using the
 196 Quick Rapid Arsenic Test Kit in the field (Table 2). Results indicated that over time the systems are
 197 still working as expected. The system installed in community A presented better results than the
 198 initial installation, due to the addition of a cascading system of two media reactors installed in
 199 January 2018 to ensure maximum arsenic removal.

200 **Table 2.** Arsenic values at ACI technology follow-up evaluation phase in selected communities in
 201 Pinal de Amoles, Queretaro, Mexico.

Community	Inflow (mg/L)	Outflow (mg/L)
A	> 0.500	< 0.004
C	< 0.004	< 0.004
F	< 0.004	< 0.004
G	0.005	< 0.004

202 The UAQ researchers responsible for the technical implementation of the systems developed
 203 their experience through ACI training as part of the transfer and adoption process of the technology
 204 from ACI in Queretaro. As part of the training process, identification of the affected communities
 205 was done with the priority defined by personnel of the SESEQ and the CONAGUA. Training was
 206 provided twice a year by ACI personnel. An initial visit was done to each community with support
 207 of the SESEQ ensuring the cooperation of the inhabitants and a preliminary diagnosis of the arsenic
 208 levels and pathogens was required due to the lack of information available. The turbidity and
 209 arsenic concentrations present the water guided the design of the system, including the size of the
 210 As reactor and the need for a pre-filter. Once the system was installed, a final installation diagnosis
 211 was made; therefore, samples are taken to be evaluated with the Rapid Arsenic Testing Kit and at the
 212 laboratory. When required, due to the levels of As, the amount of media was increased, placing an
 213 additional As reactor in a cascading fashion. To ensure the transfer of the system to the community,
 214 their members were trained on how to correctly use and maintain the system, which consists in the
 215 backwash of the filters located in the second stage of the treatment. Finally, follow-up evaluation is
 216 made in order to warranty the correct functioning of the system.

217 The project that led to this paper depends on the inter-institutional team that allows the
 218 problem of Arsenic in drinking-water supply to be approached from different areas of expertise. As
 219 indicated in the paper, the work involved inter-disciplinary, inter-sector and inter-institutional
 220 collaborations. Aside from the benefit of water quality issues to be resolved from different areas of
 221 expertise, incorporation of varied relevant stakeholders to serve as witnesses for sustainable
 222 technology adoption by the end-users. The ACI technology, from the outcome of this work indicates
 223 that water quality management of drinking-water sources can be improved upon the
 224 inter-institutional approach may also facilitate, among others, funding and roles sharing; technology
 225 development, research – such as studies into feasibility, efficiency and adoption protocol – and
 226 technology transfer. Therefore, it is possible to have new sources of funding and scale the impact to
 227 benefit even more people with low-cost technologies to safeguard the general public health.

228 4. Conclusions

229 This study confirmed that the ACI technology had been properly transferred to the
 230 Universidad Autonoma de Queretaro and was implemented successfully by the inter-institutional
 231 team and continues to be generating non-contaminated drinking water in six of the seven
 232 communities of the state of Queretaro that had significant levels of arsenic present in their source
 233 water. (The system that is not currently in use is due to alternative water sources installed by the
 234 government for the community, which is now the only available water source for this community
 235 since the project started in 2016.) There was no presence of arsenic and pathogens once the water
 236 was treated by the installed systems. Further, through testing performed at an accredited laboratory,
 237 this project confirmed that the Rapid Arsenic Testing Kit is an appropriate field test because its
 238 sensitivity to and precision for arsenic concentration mirror that of a certified laboratory.

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 240 Validation, J.V., H.J.K. and M.S.D.; Data curation, H.R. and M.S.D.; Formal analysis, M.S.D.; Investigation, J.V.,
 241 H.J.K. and M.S.D.; Resources, E.V., H.R., and H.J.K.; Writing—original draft preparation, J.V., H.J.K. and
 242 M.S.D.; Writing—review and editing, E.V., H.J.K. and M.S.D.; Visualization, J.V. and M.S.D.; Supervision, E.V.
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